∠ U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Docket Number: AL LETTER 11746/46003 Examiner Art Unit Application Number Filing Date 10/052,578 January 17, 2001 To Be Assigned 1646 Inventor(s) Invention Title HEAT SHOCK PROTEIN-BASED VACCINES ROTHMAN, et al. AND IMMUNOTHERAPIES

Assistant Commissioner for Patents Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents & Trademarks, Washington, D.C. 20231.

Date: September 16, 2002

Deborah A. Somerville, Reg. No. 31,995

Transmitted herewith for filing in the above-identified patent application is a Preliminary Amendment accompanied by the following:

- 1. Substitute Specification
- 2. Statement Under 37 CFR 7.§1.125(b)(1)
- 3. Marked-up Copy of Substitute Specification

Dated: September 16, 2002

Bv

Deborah A. Somerville (Reg. No. 31,995)

KENYON & KENYON

One Broadway

New York, N.Y. 10004

(212) 425-7200 (telephone)

(212) 425-5288 (facsimile)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Rothman et al.

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HEAT SHOCK PROTEIN-BASED VACCINES AND

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Examiner

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Art Unit

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September 16, 2002

PRELIMINARY AMENDMENT

SIR:

Applicants respectfully request that the accompanying Substitute Specification be made of record.

Please amend the above-referenced patent application as follows:

IN THE SPECIFICATION:

Please replace the specification of record with the accompanying Substitute Specification. In accordance with 37 CFR 1.125, the Substitute Specification is submitted in clean form, along with a marked up version showing all the changes to the specification of record.